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PTO/SB/08A (08-00)





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U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	09/904,963		
		Filing Date	July 13, 2001		
		First Named Inventor	Harhen et al.		
		Group Art Unit	3737		
		Examiner Name	Not Yet Assigned		
Sheet	1	of	2	Attorney Docket Number	TRANS 3.0-038A

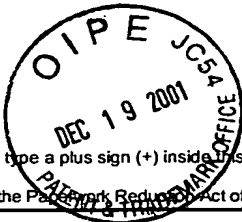
U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
SA	A	5,575,766		SWARTZ ET AL.	11/19/1996	
	B	5,582,609		SWANSON ET AL.	12/10/1996	
	C	5,971,983		LESH	10/26/1999	
	D	5,630,837		CROWLEY	05/20/1997	
EA	E	5,620,479		DIEDRICH	04/15/1997	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F	WO	99/02096		UNIV OF CA	01/21/1999		
	G	WO	98/52465		TRANSURGICAL	11/26/1998		

Examiner Signature	EEU1 MANTUS WREADER	Date Considered	12/13/2001
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



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		Application Number	09/905,227		
		Filing Date	July 13, 2001		
		First Named Inventor	Todd Fjeld		
		Group Art Unit	3739		
		Examiner Name	Not Yet Assigned		
Sheet	2	of	2	Attorney Docket Number	TRANS 3.0-038

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.
<i>EFB</i>	H	KRIMHOLTZ ET AL., "New Equivalent Circuits for Elementary Piezoelectric Transducers," Electronics Letters, Vol. 6, No. 13, pp. 398-399, June 25, 1970
<i>[initials]</i>	I	PANT, ET AL.; U.S. Patent Application Serial No. 09/496,988; filed February 2, 2000.
<i>[initials]</i>	J	PANT, ET AL.; U.S. Patent Application Serial No. 09/532,614; filed March 22, 2000.

Examiner Signature	<i>ELAN MANTUS MURPHY</i>	Date Considered	12/13/2002
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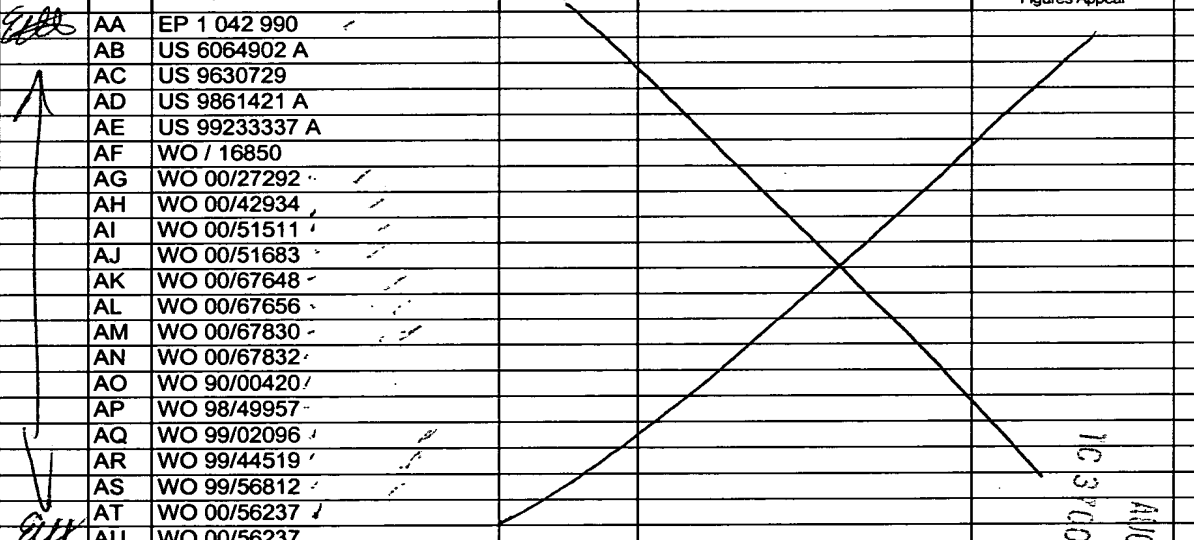
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Substitute for form 1449A/PTO				Complete if Known	
				Application Number	09/904,963
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Filing Date	July 13, 2001
				First Named Inventor	Edward Paul Harhen
				Art Unit	3737
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	TRANS 3.0-038A
Sheet	4	of	6		

ACM	5,676,692	10/14/97	Sanghui	
ACN	5,840,031	11/24/98	Crowley	
ACO	6,064,902	5/16/00	Haissaguerre	
ACP	6,022,319	2/8/00	Willard	
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ACU	6,206,831	3/27/01	Suorsa	

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		Country Code ² -Number ³ -Kind Code ⁴ (if known)				
	AA	EP 1 042 990				
	AB	US 6064902 A				
	AC	US 9630729				
	AD	US 9861421 A				
	AE	US 99233337 A				
	AF	WO / 16850				
	AG	WO 00/27292				
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	AJ	WO 00/51683				
	AK	WO 00/67648				
	AL	WO 00/67656				
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	AN	WO 00/67832				
	AO	WO 90/00420				
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	AQ	WO 99/02096				
AR	WO 99/44519					
AS	WO 99/56812					
AT	WO 00/56237					
AU	WO 00/56237					

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		First Named Inventor	Edward Paul Harhen		
		Art Unit	3737		
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Sheet	5	of	6	Attorney Docket Number	TRANS 3.0-038A

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
ED	AA	U.S. Patent Application Serial No. 09/435,284	INAPPROPRIATE CITATIONS As Prior Art
ED	AB	U.S. Patent Application Serial No. 09/281,727	
ED	AC	U.S. Patent Application Serial No. 09/233,337	
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	AG	ZIPES, DOUGLAS P., M.D., "Catheter Ablation of Arrhythmias, 1994.	
	AH	VOLKMER, MARIUS, M.D., "Focal Atrial Tachycardia from Deep Inside the Pulmonary Veins", 1997	
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Examiner Signature	ELENI MANUA MULLADOZ	Date Considered	12/13/2002
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Substitute for form 1449B/PTO		Complete if Known	
		Application Number	09/904,963
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filing Date	July 13, 2001
		First Named Inventor	Edward Paul Harhen
		Group Art Unit	3737
		Examiner Name	Not Yet Assigned
		Attorney Docket Number	TRANS 3.0-038A
Sheet	6	of	6

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AZ		SWARTZ, JOHN, F., M.D., "Radiofrequency Endocardial Catheter Ablation of Accessory Atrioventricular Pathway Atrial Insertion Sites," 1993	
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AAB		VAN HARE, GEORGE, F., "Radiofrequency Catheter Ablation of Supraventricular Arrhythmias in Patients With Congenital Heart Disease: Results and Technical Considerations," 1993	
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AAF		COSIO, FRANCISCO, G., "Atrial Flutter Mapping and Ablation II," 1996	
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AAH		GALLAGHER, JOHN, J., "Wolff-Parkinson-White Syndrome: Surgery to Radiofrequency Catheter Ablation," 1997	
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AAJ		HOCINI, MELEZE, "Multiple Sources Initiating Atrial Fibrillation from a Single Pulmonary Vein Identified by a Circumferential Catheter," 2000	
AAK		KUMAGAI, KOICHIRO, "Treatment of Mixed Atrial Fibrillation and Typical Atrial Flutter by Hybrid Catheter Ablation," 2000	
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AAR		IGAWA, OSAMU, "The Anatomical Features of the Junction between the Left Atrium and the Pulmonary Veins: The Relevance with Atrial Arrhythmia, "	

Examiner Signature	ELEN MANTAS MEREADER	Date Considered	12/13/2002
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<i>SAH</i>	AA	4,084,582	4/18/78	Nigam	
<i>SAH</i>	AB	4,185,501	1/29/80	Proudian <i>et al.</i>	
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	AE	4,391,281	7/5/83	Green	
	AF	4,433,692	2/28/84	Baba	
	AG	4,672,961	4/16/87	Davies	
	AH	4,722,347	2/2/88	Abrams <i>et al.</i>	
	AI	4,841,977	6/27/89	Griffith <i>et al.</i>	
	AJ	4,869,263	9/26/89	Segal <i>et al.</i>	
	AK	4,914,510	4/3/90	Proudian	
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	AM	4,972,826	11/27/90	Koehler <i>et al.</i>	
	AN	5,117,831	6/2/92	Jang <i>et al.</i>	
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				Examiner Name	Not Yet Assigned
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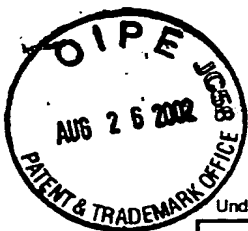
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Sheet	3	of	6	Attorney Docket Number	TRANS 3.0-038A

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<input type="checkbox"/>	ABR	6,237,605	5/29/01	Vaska et al.	
<input type="checkbox"/>	ABS	6,241,754	6/5/01	Swanson et al.	
<input type="checkbox"/>	ABT	6,245,064	6/12/01	Lesh et al.	
<input type="checkbox"/>	ABU	6,251,109	6/26/01	Hassett et al.	
<input type="checkbox"/>	ABV	6,254,599	7/3/01	Lesh et al.	
<input type="checkbox"/>	AWW	6,283,127	9/4/01	Sterman et al.	
<input type="checkbox"/>	ABX	6,290,674	9/18/01	Roue et al.	
<input type="checkbox"/>	ABY	6,305,378	10/23/01	Lesh	
<input type="checkbox"/>	ABZ	6,311,692	11/6/01	Vaska et al.	
<input type="checkbox"/>	ACA	6,314,962	11/13/01	Vaska et al.	
<input type="checkbox"/>	ACB	6,314,963	11/13/01	Vaska et al.	
<input type="checkbox"/>	ACC	6,315,778	11/13/01	Gambale et al.	
<input type="checkbox"/>	ACD	6,330,473	12/11/01	Swanson et al.	
<input type="checkbox"/>	ACE	6,332,880	12/25/01	Yang et al.	
<input type="checkbox"/>	ACF	5,140,987	8/25/92	Schuger	
<input type="checkbox"/>	ACG	5,226,430	7/13/93	Spears	
<input type="checkbox"/>	ACH	5,423,807	7/13/95	Milder	
<input type="checkbox"/>	ACI	5,454,782	10/3/95	Perkins	
<input type="checkbox"/>	ACJ	5,468,239	11/21/95	Tanner	
<input checked="" type="checkbox"/>	ACK	5,575,787	11/19/96	Abela	
<input checked="" type="checkbox"/>	ACL	5,606,974	3/4/97	Castellano	

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Examiner Signature	ELLEN MANTUS MEREADER	Date Considered	12/13/2002
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.